

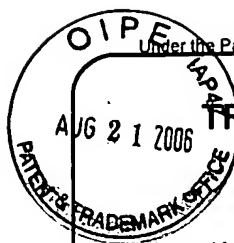
IFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/596,172 ✓
Filing Date	June 2, 2006
First Named Inventor	Hans-Peter Rienhardt et al.
Art Unit	
Examiner Name	
Attorney Docket Number	VAL 218 P2 - WDE 0685

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Card; 4 foreign patent references
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	JACOX, MECKSTROTH & JENKINS		
Signature	<i>Matthew R. Jenkins</i>		
Printed name	Matthew R. Jenkins		
Date	08/17/2006	Reg. No.	34,844

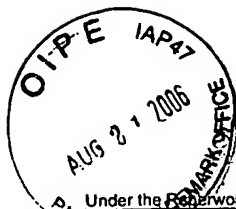
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Signature	<i>Tammy Frederick</i>		
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/596,172
Filing Date	June 2, 2006
First Named Inventor	Hans-Peter Rienhardt et al.
Art Unit	
Examiner Name	
Attorney Docket Number	VAL 218 P2 - WDE 0685

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,159,354	12/01/1964	Yartz et al.	
		US- 4,779,165	10/18/1988	Elsaesser et al.	
		US- 4,882,511	11/21/1989	von der Heide	
		US- 5,040,085	08/13/1991	Elsässer et al.	
		US- 5,128,819	07/07/1992	Elsaesser et al.	
		US- 5,216,557	06/01/1993	Elsaesser et al.	
		US- RE 34,412	10/19/1993	Elsaesser et al.	
		US- 5,422,769	06/06/1995	Elsaesser et al.	
		US- 5,446,610	08/29/1995	Elsaesser et al.	
		US- 5,557,487	09/17/1996	Elsaesser et al.	
		US- RE 35,792	05/12/1998	Elsasser et al.	
		US- 5,774,302	06/30/1998	Elsaesser et al.	
		US- 5,801,900	09/01/1998	Elsässer et al.	
		US- 5,864,443	01/26/1999	Elsaesser et al.	
		US- 6,417,595	07/09/2002	Wasson	
		US- RE 38,178	07/08/2003	Elsässer et al.	
		US- RE 38,179	07/28/2003	Elsässer et al.	
		US- RE 38,601	09/28/2004	Elsässer et al.	
		US- RE 38,662	11/30/2004	Elsasser et al.	

**FOREIGN PATENT DOCUMENTS**

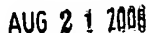
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	† <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 22 59 900	06/12/1974	ROBERT BOSCH GMBH		
		DE 35 42 542	06/04/1987	PAPST MOTOREN GMBH		
		DE 94 06 702	06/30/1994	SIEMENS AG		
		DE 103 05 402	08/21/2003	PAPST MOTOREN GMBH		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	2
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**Complete if Known**

Application Number	10/596,172
Filing Date	June 2, 2006
First Named Inventor	Hans-Peter Rienhardt et al.
Art Unit	
Examiner Name	
Attorney Docket Number	VAL 218 P2 - WDE 0685

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Applicant(s) : RIENHARDT, Hans-Peter  
WALTHER, Bernd  
HEUBERGER, Cristof  
Serial No. : 10/596,172  
Filed : June 2, 2006  
Title : ELECTROMOTIVE DRIVE  
Group :  
Docket : VAL 218 P2 - WDE0685/US

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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*Tammy Frederick*  
Signature

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Pursuant to the provisions of 37 CFR §1.97(b), Applicant(s) wishes to disclose the materials listed below and on the attached form PTO/SB/08A which the Examiner may find relevant to the examination of the above-identified patent application. Pursuant to the Official Gazette Notice of August 5, 2003, copies of issued U.S. patents and/or U.S. patent applications are not required and are not enclosed. Copies of any foreign or non-patent reference materials are enclosed herewith.

Patent No.	Issued	Inventor(s)	Title
3,159,354	12/01/1964	Yartz et al.	SERIES MOTOR CONSTRUCTION FOR A FOOD WASTE DISPOSAL UNIT
4,779,165	10/18/1988	Elsaesser et al.	DISK STORAGE DRIVE
4,882,511	11/21/1989	von der Heide	BRUSHLESS THREE-PHASE D.C. MOTOR
5,040,085	08/13/1991	Elsässer et al.	DISK STORAGE DRIVE DIRECTED TO DISK DRIVE DETAILS
5,128,819	07/07/1992	Elsaesser et al.	DISK STORAGE DRIVE WITH RADIALLY EXTENDING MOTOR SHIELD
5,216,557	06/01/1993	Elsaesser et al.	DISK STORAGE DEVICE HAVING A BRUSHLESS DC DRIVE MOTOR
RE 34,412	10/19/1993	Elsaesser et al.	DISK STORAGE DRIVE HAVING MOTOR DRIVE WITH NON-CORRODIBLE HUB
5,422,769	06/06/1995	Elsaesser et al.	SPIN MOTOR FOR ROTATING A STORAGE DISK IN A DISK DRIVE
5,446,610	08/29/1995	Elsaesser et al.	DISK STORAGE DEVICE HAVING A BRUSHLESS DC DRIVE MOTOR

5,557,487	09/17/1996	Elsaesser et al.	DISK STORAGE DRIVE HAVING PARTICULAR DIAMETER RELATIONSHIP AND AXIAL COMPACTNESS
RE 35,792	05/12/1998	Elsasser et al.	DISK STORAGE DRIVE
5,774,302	06/30/1998	Elsaesser et al.	SPIN DRIVE MOTOR FOR A DISK STORAGE DEVICE
5,801,900	09/01/1998	Elsäesser et al.	DISK STORAGE DEVICE, WITH HUB AND DRIVE MOTOR ROTOR FEATURES
5,864,443	01/26/1999	Elsaesser et al.	DISK STORAGE DEVICE HAVING A DETACHABLE COUPLING RING IN THE HUB
6,417,595	07/09/2002	Wasson	SPARK SUPPRESSION DUST SEALING FOR A MOTOR APPARATUS
RE 38,178	07/08/2003	Elsässer et al.	DISK STORAGE DEVICE HAVING AN UNDERHUB SPINDLE MOTOR
RE 38,179	07/08/2003	Elsässer et al.	DISK STORAGE DEVICE HAVING A THREE-PHASE BRUSHLESS DC UNDERHUB CONFIGURED SPINDLE MOTOR
RE 38,601	09/28/2004	Elsässer et al.	DISK STORAGE DEVICE HAVING A RADIAL MAGNETIC YOKE FEATURE
RE 38,662	11/30/2004	Elsasser et al.	DISK STORAGE DEVICE HAVING A SEALED BEARING TUBE
2004/0245876	12/09/2004	Doemen et al.	ELECTRONICALLY COMMUTATED INTERNAL ROTOR MOTOR
RE 38,673	12/21/2004	Elsässer et al.	DISK STORAGE DEVICE HAVING A HUB SEALING MEMBER FEATURE

## Foreign:

Country	Patent/App. No.	Issued/Pub	Applicant
DE	22 59 900	06/12/1974	ROBERT BOSCH GMBH
DE	35 42 542	06/04/1987	PAPST MOTOREN GMBH & CO KG
DE	94 06 702	06/30/1994	SIEMENS AG
DE	103 05 402	08/21/2003	PAPST MOTOREN GMBH & CO KG

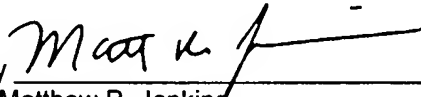
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The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to **Deposit Account No. 50-1287**. Applicant(s) hereby provides a general request for any extension of time which may be required at any time during the prosecution of the application. The Commissioner is also authorized to charge any fees which have not been previously paid for by check and which are required during the prosecution of this application to **Deposit Account No. 50-1287**. (Should Deposit Account No. 50-1287 be deficient, please charge any further deficiencies to Deposit Account No. 10-0220)

Respectfully submitted,

JACOX, MECKSTROTH & JENKINS

By   
Matthew R. Jenkins  
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